

CMCP Data Summary Description

Report Contents

The CMCP Smelter Verification Report contains the following tables:

CMRT Smelters - Known & Active: This table contains each unique smelter-metal combination reported to be in the supply chain with additional information from the Source Intelligence Smelter Database, including Country of Origin, RMI Country Risk Level, Verification Status, Certification Status, Source of Country of Origin Data and information about Known Reserves. This clean smelter list can be easily moved into a table for SEC filings or other reports.

Smelter-Supplier-Metal List: Allows for quick lookup of which suppliers listed a known smelter for which metal.

CMRT Smelters - Additional: Provides visibility into which supplier-provided smelter names were not included in the list of Known Smelters.

CMRT Smelters - All: This table provides a complete look at the raw smelter data provided by each supplier.

Definitions

Certification Status:

1. **Responsible Minerals Assurance Process: Responsible Minerals Initiative (RMI)**
 - **RMAP:** The smelter has an active certification or is in the process of renewing their certification.
 - **RMAP-Active:** The smelter is actively moving through the certification process.
 - **TICMC-Progressing:** Tungsten smelters that have committed to obtain a RMAP certification within 2 years of membership with the Tungsten Industry-Conflict Minerals Committee (TI-CMC).
2. **Responsible Gold Certificate: London Bullion Market Association (LBMA)**
 - **LBMA:** The smelter has obtained a Responsible Gold Certification.
3. **Chain of Custody Certificate: Responsible Jewelry Council (RJC)**
 - **RJC:** The smelter has obtained a Chain-of-Custody Certification.

Verification Status:

1. **RMI Standard Smelter:** Smelter or Refiner (SOR) is listed on the CMRT Smelter Look-up tab.

Sourcing Country Level:

1. **Level 3:** RMI Level 3 Countries are those high-risk countries outlined in Section 1502 of the Dodd-Frank Act as those affected or bordering conflict-affected regions: currently defined as the Democratic Republic of Congo (DRC) and its nine adjoining countries (Angola, Burundi, Central African Republic, Republic of the Congo, Rwanda, South Sudan, Tanzania, Uganda, Zambia).
2. **Level 2:** RMI Level 2 Countries are those low to medium risk countries with known or plausible involvement in the smuggling, export or transit of mineral out of conflict affected regions.
3. **Level 1:** RMI Level 1 Countries are those little to no risk countries with known active metal production but are not identified as conflict regions or plausible areas of smuggling, export or transit of minerals out of conflict affected regions.

Smelter or Refiner (SOR): A smelter or refiner is any company that procures and processes mineral ore, slag and/or materials from recycled or scrap sources into refined metal or metal-containing intermediate products. The output can be pure (99.5% or greater) metals, powders, ingots, bars, grains, oxides or salts.